



#4

ATTORNEY'S DOCKET NO: C1039/7052 (AWS)

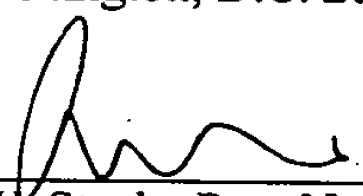
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Weiner and Hartmann
Serial No: 09/888,326
Filed: June 22, 2001
For: METHODS FOR ENHANCING ANTIBODY-INDUCED CELL LYSIS
AND TREATING CANCER

Examiner: unknown
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 21st day September, 2001.


Alan W. Steele, Reg. No.: 45,128

Commissioner for Patents
Washington, D.C. 20231

Sir:

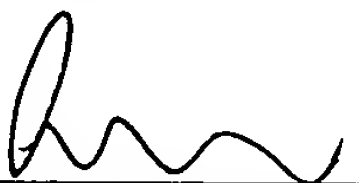
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 and References Cited
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is determined to be required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
George Weiner and Gunther Hartmann, Applicant(s)

By: 
Alan W. Steele, Reg. No. 45,128
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. C1039/7052 (AWS)
Dated: September 21, 2001
X: September 22, 2001



ATTORNEY'S DOCKET NO: C1039/7052 (AWS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Weiner and Hartmann
Serial No: 09/888,326
Filed: June 22, 2001
For: METHODS FOR ENHANCING ANTIBODY-INDUCED CELL
LYSIS AND TREATING CANCER

Examiner: unknown
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 21st day September, 2001.

Alan W. Steele, Reg. No.: 45,128

Commissioner for Patents
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application and before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

A. The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

B. The Applicant hereby makes the following additional information of record in the above-identified application. The applicant would like to bring to the Examiner's attention the following co-pending non-provisional applications (copies enclosed) that may contain subject matter related to this application:

<u>Docket No.</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>
C1037/7013	09/776,479	02/02/01	Bratzler et al.
C1037/7017	09/800,266	03/05/01	Bratzler et al.
C1037/7018	09/801,839	03/08/01	Bratzler et al.
C1037/7019	09/920,313	08/01/01	Bratzler et al.
C1039/7009	09/082,649	05/20/98	Davis et al.
C1039/7017	09/191,170	11/13/98	Krieg et al.
C1039/7020	09/337,584	06/21/99	Krieg et al.
C1039/7021	09/337,619	06/21/99	Krieg et al.
C1039/7022	09/337,893	06/21/99	Krieg
C1039/7023	09/337,636	06/21/99	Krieg
C1039/7025	09/325,193	06/03/99	Davis et al.
C1039/7027	09/306,281	05/06/99	Gramzinski et al.
C1039/7028	09/361,575	07/27/99	Krieg
C1039/7029	09/415,142	10/09/99	Krieg et al.
C1039/7035	09/669,187	09/25/00	Krieg et al.
C1039/7036	09/559,140	04/27/00	Noll et al.
C1039/7041	09/655,319	09/05/00	Krieg et al.
C1039/7042	09/630,319	07/31/00	Krieg et al.
C1039/7043	09/629,477	07/31/00	Krieg et al.
C1039/7044	09/672,126	09/27/00	Hartmann et al.
C1039/7048	09/818,918	03/27/01	Krieg et al.
C1039/7049	09/824,468	04/02/01	Krieg et al.
C1040/7004	09/146,072	09/02/98	Davis et al.
C1040/7006	09/316,199	05/21/99	McCluskie et al.
C1040/7010	09/768,012	01/22/01	Davis et al.
C1041/7002	09/241,653	02/02/99	Wagner et al.
C1041/7010	09/786,436	03/02/01	Wagner et al.
C1041/7014	09/895/007	06/28/01	Schetter

PART III.: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
George Weiner and Gunther Hartmann,
Applicant(s)

By: 

Alan W. Steele, Reg. No. 45,128
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. C1039/7052 (AWS)
Dated: September 21, 2001
X: September 22, 2001